

## ORIENTAL SECURITIES (PVT) LTD.

Corporate Brokerage House (TREC No. 148): The Karachi Stock Exchange Ltd.
Regd. Office: Room # 731-732, 7th Floor, Karachi Stock Exchange Building.
Stock Exchange Road, Off. I.I. Chundrigar Road, Karachi, Pakistan.
Tel: 021-32446 744 & 747, Direct: 021-32446 748 Fax: 021-32446 750
Email: oslmail148@yahoo.com Web: www.osl.com.pk

To.

Date: 13-10-2016

Head of Operations, Pakistan Stock Exchange Ltd., Karachi.

Subject:

Submission of "Net Capital Balance & Liquid Capital"

as on 30-09-2016

Dear Sir,

Please refer to your notice No. PSX/N-5086 dated 15-09-2016 on above subject.

2) We have the honor to submit herewith original "Net Capital Balance & Liquid Capital" statements along with certified true copies of the same for the period ended 30-09-2016 for your kind perusal, record and onward transmission to SECP.

Submitted Please

Basharat Ullah Khan Nominee Director

Cell #: 0300-82 82 320



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COMPUTATION NET CAPITAL BALANCE AS AT SEPTEMBER 30, 2016

SCHEDULE II Regulation 6(4)

As per book value	DESCRIPTION	VALUATION BASIS	VALUE
Cash at bank         —Pertaining to Brokerage house         As per book value         425,073           —Pertaining to Clients         As per book value         36,773,800           —Deposit against exposure and losses with Pakistan Stock Exchange         As per book value         36,773,800           Total bank balances         As per book value         10,867,432           Trade Receivable         Book value         10,867,432           Less: Overdue for more than 14 days         10,867,432           Investment in Listed Securities in the name of company         Market Value         5,089,075           Less: 16% discount         \$5,089,075         (760,381)           Securities purchased for clients         Socurities purchased for the clients and held the company where the payments has not been received within 14 days.         1,562,708           Listed Term Finance Certificate / Corporate Bonds (Not less than BBB grade)         Market Value         0           Federal Investment Bonds         Market Value         0           Less: 5% discount         0           Treasury bills         Market Value         0           Any Other Current Asset Specified by the Commission         As Per the Valuation Basis Determined by the Commission         0           CURRENT LIABILITIES         Book value         38,479,478         (11,629,492) <td< th=""><th>CURRENT ASSETS</th><th></th><th></th></td<>	CURRENT ASSETS		
Cash at bank         —Pertaining to Brokerage house         As per book value         425,073           —Pertaining to Clients         As per book value         36,773,800           —Deposit against exposure and losses with Pakistan Stock Exchange         As per book value         36,773,800           Total bank balances         As per book value         10,867,432           Trade Receivable         Book value         10,867,432           Less: Overdue for more than 14 days         10,867,432           Investment in Listed Securities in the name of company         Market Value         5,089,075           Less: 16% discount         \$5,089,075         (760,381)           Securities purchased for clients         Socurities purchased for the clients and held the company where the payments has not been received within 14 days.         1,562,708           Listed Term Finance Certificate / Corporate Bonds (Not less than BBB grade)         Market Value         0           Federal Investment Bonds         Market Value         0           Less: 5% discount         0           Treasury bills         Market Value         0           Any Other Current Asset Specified by the Commission         As Per the Valuation Basis Determined by the Commission         0           CURRENT LIABILITIES         Book value         38,479,478         (11,629,492) <td< td=""><td>Cash in hand</td><td>As per book value</td><td>52 857</td></td<>	Cash in hand	As per book value	52 857
As per book value		As per cook value	02,037
As per book value   36,773,900		As per book value	425 073
As per book value 5,836,425  Trade Receivable As per book value 43,035,398  Trade Receivable Book value 10,867,432 (1,886,949)  Book value Less: Overdue for more than 14 days (1,886,949)  Investment in Listed Securities in the name of company Market Value Less: 15% discount (760,361)  Securities purchased for clients Securities purchased for the clients and held the company where the payments has not been received within 14 days.  Listed Term Finance Certificate / Corporate Bonds (Not less than BBB grade)  Federal Investment Bonds Market Value Less: 5% discount 0  Treasury bills Market Value 0  As Per the Valuation Basis Determined by the Commission 0  CURRENT LIABILITIES  Trade Payables Book value 1,582,792  CURRENT LIABILITIES  Trade payables overdue by 30 days 11,629,492  Trade payables overdue by 30 days 11,629,492  Trade payables overdue by 30 days 11,629,492  38,281,483	[ - [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ] [ ]		
Trade Receivable  As per book value  As per book value  Book value Less: Overdue for more than 14 days  Investment in Listed Securities In the name of company  Market Value Less: 15% discount A;080,714  Securities purchased for clients Securities purchased for the clients and held the company where the payments has not been received within 14 days.  Listed Term Finance Certificate / Corporate Bonds (Not less than BBB grade)  Market Value Less: 10% discount  O  Federal Investment Bonds  Market Value Less: 5% discount  O  Formation of the Commission  Treasury bills  Market Value O  Any Other Current Asset Specified by the Commission  CURRENT LIABILITIES  Trade Payables  Book value Less: Overdue for more than 30 days  1,782,055  24,849,986  Other liabilities  As classified under the generally accepted accounting principal.  Trade payables overdue by 30 days  11,629,492 38,261,463			5.0000000000000000000000000000000000000
Book value		no per book value	0,000,420
Less : Overdue for more than 14 days  Less : Overdue for more than 14 days  8,980,484  Investment in Listed Securities In the name of company  Market Value Less : 15% discount  5,059,075 (760,361)  4,308,714  Securities purchased for clients  Securities purchased for the clients and held the company where the payments has not been received within 14 days.  Listed Term Finance Certificate / Corporate Bonds (Not less than 888 grade)  Market Value Less : 10% discount  0  Federal Investment Bonds  Market Value Less : 5% discount  0  Treasury bills  Market Value 0  As Per the Valuation Basis Determined by Commission  CURRENT LIABILITIES  Trade Payables  Book value Less : Overdue for more than 30 days  Trade payables overdue by 30 days  11,629,492 38,261,463	Total bank balances	As per book value	43,035,398
Investment in Listed Securities in the name of company  Market Value Less: 15% discount  A308.714  Securities purchased for clients  Securities purchased for the clients and held the company where the payments has not been received within 14 days.  Listed Term Finance Certificate / Corporate Bonds (Not less than BBB grade)  Less: 10% discount  O  Federal Investment Bonds  Market Value Less: 5% discount  O  Treasury bills  Market Value D  As Per the Valuation Basis Determined by the Commission  CURRENT LIABILITIES  Trade Payables  Book value Less: Overdue for more than 30 days  CHAP143005  As classified under the generally accepted accounting principal.  Trade payables overdue by 30 days  11,629,492  38,261,483	Trade Receivable	Book value	10,867,432
Investment in Listed Securities in the name of company  Market Value Less: 15% discount  4,308,714  Securities purchased for clients  Securities purchased for the clients and held the company where the payments has not been received within 14 days.  Listed Term Finance Certificate / Corporate Bonds (Not less than BBB grade)  Market Value Less: 10% discount  0  Federal Investment Bonds  Market Value Less: 5% discount  0  Treasury bills  Market Value  O  Any Other Current Asset Specified by the Commission  CURRENT LIABILITIES  Trade Payables  Book value Less: Overdue for more than 30 days  24,849,986  Other liabilities  As classified under the generally scepted accounting principal.  1,629,492  38,261,483		Less: Overdue for more than 14 days	(1,886,949)
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Less: 15% discount  (760,361)  4,308.714  Securities purchased for the clients and held the company where the payments has not been received within 14 days.  Listed Term Finance Certificate / Corporate Bonds (Not less than BBB grade)  Federal Investment Bonds  Market Value Less: 10% discount  0  Treasury bills  Market Value Dess: 5% discount  0  As Per the Valuation Basis Determined by the Commission  CURRENT LIABILITIES  Trade Payables  Book value Less: Overdue for more than 30 days  Other liabilities  As classified under the generally accepted accounting principal.  Trade payables overdue by 30 days  11,629,492  38,261,483	Investment in Listed Securities		
A 308,714  Securities purchased for clients  Securities purchased for the clients and held the company where the payments has not been received within 14 days.  Listed Term Finance Certificate / Corporate Bonds (Not less than BBB grade)  Market Value Less: 10% discount  O  Federal Investment Bonds  Market Value Less: 5% discount  O  Treasury bills  Market Value O Any Other Current Asset Specified by the Commission  As Per the Valuation Basis Determined by the Commission  CURRENT LIABILITIES  Trade Payables  Back value Less: Overdue for more than 30 days  Other liabilities  As classified under the generally accepted accounting principal.  Trade payables overdue by 30 days  11,629,492  38,261,463	in the name of company	Market Value	5,089,075
Securities purchased for clients  Securities purchased for the clients and held the company where the payments has not been received within 14 days.  Listed Term Finance Certificate / Corporate Bonds (Not less than BBB grade)  Market Value Less: 10% discount  0  Federal Investment Bonds  Market Value Less: 5% discount  0  Treasury bills  Market Value 0 0  Any Other Current Asset Specified by the Commission  As Per the Valuation Basis Determined by the Commission  0  57,940,160  CURRENT LIABILITIES  Trade Payables  Book value Less: Overdue for more than 30 days  24,849,986  Other liabilities  As classified under the generally accepted accounting principal.  Trade payables overdue by 30 days  11,629,492  38,261,483		Less: 15% discount	(760,361)
held the company where the payments has not been received within 14 days.  Market Value Less: 10% discount  O Federal Investment Bonds  Market Value Less: 5% discount  O Treasury bills  Market Value O Any Other Current Asset Specified by the Commission  O Any Other Payables  Book value Less: Overdue for more than 30 days  Other liabilities  As classified under the generally accepted accounting principal.  Trade payables overdue by 30 days  Market Value O 57,940,160  1,782,005  11,629,492 38,261,483			4,308,714
held the company where the payments has not been received within 14 days.  Listed Term Finance Certificate / Corporate Bonds (Not less than BBB grade)  Market Value Less: 10% discount  0  Federal Investment Bonds  Market Value Less: 5% discount  0  Treasury bills  Market Value 0 0  As Per the Valuation Basis Determined by the Commission  0  57,940,160  CURRENT LIABILITIES  Trade Payables  Book value Less: Overdue for more than 30 days  24,849,986  Other liabilities  As classified under the generally accepted accounting principal.  Trade payables overdue by 30 days  11,629,492 38,261,483	Securities purchased for clients	Socurities purchased for the clients and	1 562 708
Listed Term Finance Certificate / Corporate Bonds (Not less than BBB grade)  Market Value Less: 10% discount  0  Federal Investment Bonds  Market Value Less: 5% discount  0  Treasury bills  Market Value Do Any Other Current Asset Specified by the Commission  CURRENT LIABILITIES  Trade Payables  Book value Less: Overdue for more than 30 days  Trade payables overdue by 30 days  Market Value  10  0  57,940,160  24,849,986  Trade payables overdue by 30 days  11,629,492  38,261,483			1,562,708
Listed Term Finance Certificate / Corporate Bonds (Not less than BBB grade)  Less: 10% discount  Description of the Commission  Market Value Less: 5% discount  Description of the Commission  As Per the Valuation Basis Determined by the Commission  CURRENT LIABILITIES  Trade Payables  Book value Less: Overdue for more than 30 days  Description of the Commission  As Classified under the generally accepted accounting principal.  Trade payables overdue by 30 days  Market Value  Description of the Commission  Operation of the Commission  Operation of the Commission of the Commission  Operation of the Commission of the Commission  Operation of the Commission			
Less : 10% discount		has not been received within 14 days.	
Less : 10% discount	Listed Term Finance Certificate / Corporate	Market Value	0
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Treasury bills  Market Value  O  Any Other Current Asset Specified by the Commission  As Per the Valuation Basis Determined by the Commission  O  57,940,160  CURRENT LIABILITIES  Trade Payables  Back value Less: Overdue for more than 30 days  Other liabilities  As classified under the generally accepted accounting principal.  Trade payables overdue by 30 days  11,629,492  38,261,483	Federal Investment Bonds	Market Value	ALC: ALC: ALC: ALC: ALC: ALC: ALC: ALC:
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COmmission         the Commission         0         57,940,160           CURRENT LIABILITIES         Book value         36,479,478         478         479,478         478         479,478	Any Other Current Asset Specified by the	As Per the Valuation Rasis Determined by	
Trade Payables   Book value   36,479,478   Less : Overdue for more than 30 days   (11,629,492)   24,849,986	Commission	1 (1) 전화 1 전 1 전 1 전 1 전 1 전 1 전 1 전 1 전 1 전	
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Less : Overdue for more than 30 days  24,849,986  Other liabilities  As classified under the generally accepted accounting principal.  Trade payables overdue by 30 days  1,782,005  11,629,492  38,261,483	CURRENT LIABILITIES		
Less : Overdue for more than 30 days  24,849,986  Other liabilities  As classified under the generally accepted accounting principal.  Trade payables overdue by 30 days  1,782,005  11,629,492  38,261,483	Trade Payables	Book value	36,479,478
Other liabilities As classified under the generally 1,782,005 accepted accounting principal.  Trade payables overdue by 30 days 11,629,492 38,261,483			
Trade payables overdue by 30 days  11,629,492 38,261,483		×	
Trade payables overdue by 30 days	Other liabilities	As classified under the generally	1,782,005
38,261,483		accepted accounting principal.	
38,261,483	Trade payables overdue by 30 days		11,629,492
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	NET CAPITAL AS AT SEPTEMBER 30,2016		19,678,678

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